Attorney Docket No. CUTLER-08

1625

Seaman

Group No.:

Examiner:



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Stefan Kwiatkowski et al.

Serial No.:

10/715,904

Filed: Entitled:

11/18/03 Stereospecific Enrichment of Heterocyclic

Enantiomers

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Assistant Commissioner for Patents Washington, D.C. 20231

### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: September 9, 2005

Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: September 9, 2005

Thomas W. Brown Registration No. 50,002

MEDLEN & CARROLL, LLP 220 Montgomery Street, Suite 2200 San Francisco, California 94104 617/252-3353



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## INFORMATION DISCLOSURE STATEMENT

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to: Assistant Commissioner for Patents,	Washington, D.C. 20231.	
Dated: September 9, 2005	By:	
	Kelly 1	Collins
Dated: September 9, 2005	By: Kelly 1.	Collins

### Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

The following printed publications are referred to in the body of the specification:

- 09/14/2005 BABRAHA1 00000015 10715904 U.S. Patent No. 4,302,460 to Davies *et al.* §
- U.S. Patent No. 5,079,264 to MacLean et al.; 01 FC:1806

180.00 OP

- U.S. Patent No. 5,011,931 to MacLean et al.;
- WO 91/02724; and
- Morita et al., "Synthesis and Absolute Configuration of the Enantiomers of 7-Fluoro-1-methyl-3-(methylsulfinyl)-4(1H)-quinolinone (Flosequinan)," Chem. Pharm. Bull., 42(10): 2157-2160 (1994).

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

# PATENT Attorney Docket No. CUTLER-08294

Dated: September 9, 2005

Thomas W. Brown Registration No. 50,002

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 415/904-6500 FORM PTO-1449 (Modified) INFORMATION DISCUSATES ATEMENT BY APPLICANT (Use Severar-Sheets If Necessary)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: CUTLER-08294

Serial No.: 10/715,904

Applicant: Stefan Kwiatkowski et al.

Filing Date: 11/18/03

Group Art Unit: 1625

(37 CFR §	1.98(b))			 	

Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	1	4,302,460	11/24/81	Davies et al.	424	258	03/24/80
	2	5,011,931	04/30/91	MacLean et al.	546	155	11/07/88
	3	5,079,264	01/07/92	MacLean et al.	514	629	03/01/91
	4						
	5			<u> </u>			
	6						
	7				]		

### FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

_		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation Yes
	8	WO 91/02724	07.03.91	PCT			X
_	9						
	10						
	11						
	12						
	13						
	14						
		ОТНЕ	R DOCUMENTS (Includi	ng Author, Title, Date, Relevant Pages, Pla	ace of Publication)	<del></del>	
	15	Morita et al., "Synt (Flosequinan)," Che	hesis and Absolute Configem. Pharm. Bull., 42(10):	guration of the Enantiomers of 7-Fluoro-1- 2157-2160 (1994)	methyl-3-(methylsul	finyl)-4(1 <i>H</i> )-quin	olinone
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XAMINER:	Ini wi	itial citation considered	d. Draw line through citant to applicant.	tion if not in conformance and not conside	red. Include copy of	f this form	